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| APPLICATION NO. | F                     | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.                   | CONFIRMATION NO. |
|-----------------|-----------------------|------------|----------------------|---------------------------------------|------------------|
| 10/621,085      | 10/621,085 07/15/2003 |            | Justin Mortensen     | LSI.74US01 (03-0840)                  | 9264             |
| 24319           | 7590                  | 01/18/2006 |                      | EXAMINER                              |                  |
| LSI LOGIO       |                       |            | COLAN, GIOVANNA B    |                                       |                  |
| MS: D-106       | EK LANE               | 2          |                      | ART UNIT                              | PAPER NUMBER     |
| MILPITAS,       | CA 950                | 35         | 2162                 | · · · · · · · · · · · · · · · · · · · |                  |
|                 |                       |            |                      | DATE MAILED: 01/18/2000               | 6                |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  |   | Applicat          | ion No.          | No. Applicant(s)  |                  |  |  |  |  |
|--|---|-------------------|------------------|---|------------------|--|--|--|--|
|  |   | 10/621,0          | 985              | MORTENSEN E   | MORTENSEN ET AL. |  |  |  |  |
| Office Action Summary  |   |                   | r                | Art Unit  |                  |  |  |  |  |
|  |   | Giovanna          | Colan            | 2162  |                  |  |  |  |  |
| Period fo  | The MAILING DATE of this communication Reply  | on appears on th  | e cover sheet w  | vith the correspondence a   | ddress           |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                   |                  |   |                  |  |  |  |  |
| Status   |   |                   |                  |   |                  |  |  |  |  |
| 1)⊠  | Responsive to communication(s) filed on   | 15 July 2003.     |                  |   |                  |  |  |  |  |
| ′=   | ,   | This action is i  | non-final.       |   |                  |  |  |  |  |
| ,—   | ,—  |                   |                  | tters, prosecution as to th   | e merits is      |  |  |  |  |
| ٥,١  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. |                   |                  |   |                  |  |  |  |  |
| Dispositi  | on of Claims  |                   | ,                | ·   |                  |  |  |  |  |
|  |   |                   |                  |   |                  |  |  |  |  |
|  | Claim(s) <u>1-11</u> is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.   |                   |                  |   |                  |  |  |  |  |
|  | Claim(s) is/are allowed.  |                   |                  |   |                  |  |  |  |  |
| •  | · — · · · <del>- · · ·</del>  |                   |                  |   |                  |  |  |  |  |
|  | Claim(s) <u>1-11</u> is/are rejected. Claim(s) is/are objected to.  |                   |                  |   |                  |  |  |  |  |
| •  | Claim(s) are subject to restriction   | and/or election   | requirement      |   |                  |  |  |  |  |
| <u>ا</u> ره  | ciam(s) are subject to restriction  | and/or election   | requirement.     |   |                  |  |  |  |  |
| Applicati  | on Papers   |                   |                  |   |                  |  |  |  |  |
| 9)[  | The specification is objected to by the Ex  | aminer.           |                  |   |                  |  |  |  |  |
| 10)⊠ The drawing(s) filed on <u>15 July 2003</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.  |   |                   |                  |   |                  |  |  |  |  |
|  | Applicant may not request that any objection  | to the drawing(s) | be held in abeya | ince. See 37 CFR 1.85(a).   |                  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |                   |                  |   |                  |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |                   |                  |   |                  |  |  |  |  |
| Priority ι   | ınder 35 U.S.C. § 119   |                   |                  |   |                  |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |   |                   |                  |   |                  |  |  |  |  |
| Attachmen<br>—   |   |                   | _                |   |                  |  |  |  |  |
| 2) ☐ Notic<br>3) ☐ Infor   | e of References Cited (PTO-892)<br>e of Draftsperson's Patent Drawing Review (PTO-9<br>nation Disclosure Statement(s) (PTO-1449 or PTO/<br>r No(s)/Mail Date  | •                 | Paper No         | Summary (PTO-413)<br>(s)/Mail Date<br>Informal Patent Application (PT | rO-152)          |  |  |  |  |

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#### **DETAILED ACTION**

1. This action is issued in response to applicant filed application on 07/15/03.

2. Claims 1 - 11 are pending.

# Claim Rejections - 35 USC § 112

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claim 4 - 5 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 4 recites the limitation "said second transmittable format" in line 5. There is insufficient antecedent basis for this limitation in the claim.

Best to the examiner ascertain the prior art is applied to the claim.

Any claim not specifically addressed, above, is being rejected as incorporating the deficiencies of a claim upon which it depends.

Examiner asserts that all claims should be checked for clarification.

Appropriate action is required.

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## Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 6. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 7. Claim 1 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cianfrocca et al. (Cianfrocca hereinafter) (US Patent No. 6,088,796) in view of Ananian et al (Ananian hereinafter) (US Patent No. 6,922,701 B1).

Regarding Claim 1, Cianfrocca discloses a method of managing data in a plurality of disparate and diverse databases comprising:

providing a first database located in a first location (Fig. 1, item 105, Col. 6, lines 11 – 14, Cianfrocca) and further being located behind a first firewall (Fig. 1, item 104, Col. 6, lines 13 – 14, Cianfrocca);

providing a second database located in a second location (Fig. 1, item 106, Col. 6, lines 14 – 17, Cianfrocca) and further being located behind a second firewall (Fig. 1, item 104, lines 14 – 17, Cianfrocca);

providing a clearinghouse server (Fig. 1 and 4, item 103, Web Server Running Messenger System, Col. 6 and 17, lines 17 – 20 and 1 – 2; respectively, Cianfrocca) located outside of said first firewall and said second firewall (Fig. 1, item 104, Firewall, Col. 6, lines 19 – 22, Cianfrocca), said clearinghouse server having a clearinghouse database (Fig. 4, Col. 17, line 54, Database, Cianfrocca);

providing a workstation located behind said first firewall (Col. 16, lines 24 – 28, Cianfrocca<sup>1</sup>), said workstation having a clearinghouse interface program (Col. 16, lines 27 – 28, clients, Cianfrocca<sup>2</sup>);

establishing communications between said clearinghouse interface program with said clearinghouse server (Col. 16, lines 24 – 26, Cianfrocca).

Cianfrocca does not explicitly teach indexing CAD data from the databases, transmitting request for a requested file, determining the location of said requested file, sending a request to second database for said file, converting said file to transmittable format, or transmitting said file. On the other hand, Ananian discloses a method and system for managing CAD data files (Col. 2, lines 43 – 47, Ananian), including: a

<sup>&</sup>lt;sup>1</sup> Cianfrocca discloses, as cited above, workstations including programs, in the User Agent, to communicate with the messenger system server (equivalent to the clearinghouse server). In addition, Cianfrocca discloses that the communication between the User Agent and messenger system server will be across firewall (Col. 8, lines 7 – 9). This implies that the workstations are also behind the firewall. <sup>2</sup> Cianfrocca discloses that clients will be programs that run at user workstations and call routines to connect to the messenger system server (equivalent to the clearinghouse server).

clearinghouse database comprising an index to at least a portion of CAD data in first database and at least a portion of CAD data in second database (Fig. 1, Col. 9 and Col. 11, lines 19 – 23 and 4 – 8; respectively, Ananian); transmitting a request for a requested file from said clearinghouse interface program to said clearinghouse server (Col. 13, lines 14 – 30, Ananian); determining that said requested file is located in said second database by using said clearinghouse database (Col. 14, lines 2 – 4, Ananian<sup>3</sup>); sending a request from said clearinghouse server to said second database for said requested file (Col. 14, lines 35 – 38, Ananian); converting said requested file to a first transmittable format(Col. 8, lines 4 – 10, Ananian); and transmitting said requested file from said second database in said first transmittable format (Col. 20, lines 1-6, Ananian). It would have been obvious to one of ordinary skills in the art at the time the invention was made to add Ananian's funcionality for sending and converting a CAD file to the system and method of Cianfrocca to let users manipulate, modify, and update different CAD format files. One of ordinary skills in the art at the time the invention was made would have been motivated to do so, in order to improve interaction between the client and the professionals throughout the construction process; to ensure consistent and informed client input, cost-effective decisions, while maintaining the client's visionary perspective (Col. 2, lines 10 - 17, Ananian).

Regarding Claim 2, the Cianfrocca and Ananian combination (hereinafter "Cianfrocca/Ananian") discloses a method, wherein said workstation is a CAD

<sup>&</sup>lt;sup>3</sup> Ananian further discloses entering zip code or country (location) and other topics of the specific item

workstation (Col. 16, lines 24 – 28, Cianfrocca<sup>4</sup>; Col. 2, lines 43 – 47, Ananian) and said clearinghouse interface program is a plug-in application (Col. 16, lines 27 – 28, clients, Cianfrocca<sup>5</sup>; Col. 16, lines 26 – 29, Ananian).

Regarding Claim 3, Cianfrocca/Ananian discloses a method, wherein said establishing communications between said clearinghouse interface program and said clearinghouse server comprises authenticating and authorizing said clearinghouse interface program (Col. 13, lines 40 – 45, Ananian).

Regarding Claim 4, Cianfrocca/Ananian discloses a method, further comprising: converting said requested file from said first transmittable format to a first CAD format (Col. 5, lines 21 – 28, Ananian);

translating said requested file from said first CAD format to a second CAD format (Col. 7, lines 8 – 12, Ananian);

converting said requested file from said first CAD format to said second transmittable format (Col. 7, lines 49 – 54, Ananian);

transmitting said requested file to said first CAD station using said second transmittable format (Col. 7, lines 62 – 65, Ananian<sup>6</sup>); and

<sup>6</sup> The profile engine would correspond to the first CAD station.

requested. This implies locating the requested item in a specific database (see Col. 14, Table 1, lines 23 and 27).

<sup>&</sup>lt;sup>4</sup> Cianfrocca discloses, as cited above, workstations including programs, in the User Agent, to communicate with the messenger system server (equivalent to the clearinghouse server). In addition, Cianfrocca discloses that the communication between the User Agent and messenger system server will be across firewall (Col. 8, lines 7 – 9). This implies that the workstations are also behind the firewall. <sup>5</sup> Cianfrocca discloses that clients will be programs that run at user workstations and call routines to connect to the messenger system server (equivalent to the clearinghouse server).

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converting said requested file from said second transmittable format to said second CAD format (Col. 8, lines 6 – 11, Ananian<sup>7</sup>).

Regarding Claim 5, Cianfrocca/Ananian discloses a method, wherein said step of translating said requested file from said first CAD format to said second CAD format is performed by said clearinghouse server (Col. 6, lines 9 – 12, Ananian).

Regarding Claim 6, Cianfrocca/Ananian discloses a method, wherein said first transmittable format comprises XML (Col. 7, lines 20 – 26, Ananian).

Regarding Claim 7, Cianfrocca/Ananian discloses a system for sharing files across disparate databases comprising:

a first server located behind a first firewall (Fig. 1, item 104, Col. 6, lines 13 – 14, Cianfrocca) and connected to a first database (Fig. 1, item 105, Col. 6, lines 11 – 14, Cianfrocca) that contains a first set of files (Col. 9, lines 40 – 43, Cianfrocca);

a second server located behind a second firewall (Fig. 1, item 104, Col. 6, lines 14 – 17, Cianfrocca) and connected to a second database (Fig. 1, item 106, Col. 6, lines 14 – 17, Cianfrocca) that contains a second set of files(Col. 19, lines 30 – 33, application server components, Cianfrocca);

<sup>&</sup>lt;sup>7</sup> The format of the extracted data with highly detailed features of the buildings corresponds to the Computer Aided Design (CAD) format.

a clearinghouse server (Fig. 1 and 4, item 103, Web Server Running Messenger System, Col. 6 and 17, lines 17 – 20 and 1 – 2; respectively, Cianfrocca) located outside of said first firewall and said second firewall (Fig. 1, item 104, Firewall, Col. 6, lines 19 – 22, Cianfrocca);

a clearinghouse database located on said clearinghouse server (Fig. 4, Col. 17, line 54, Database, Cianfrocca) and having an index to at least a portion of said first set of files in said first database and at least a portion of said second set of files in said second database (Fig. 1, Col. 11, lines 4 – 8, Ananian);

a workstation located behind said first firewall (Col. 16, lines 24 – 28, Cianfrocca<sup>8</sup>) and having a clearinghouse interface program capable of interfacing with said clearinghouse database on said clearinghouse server (Col. 16, lines 24 – 28, messenger system enabled application components are programs that call routines in the User Agent Library, Cianfrocca<sup>9</sup>), said clearinghouse interface program further capable of sending a request for a specific file indexed in said clearinghouse database (Col. 13, lines 14 – 26, Ananian);

said clearinghouse server further receiving said request for said specific file from said workstation (Col. 13, lines 29 – 30, Ananian), determines that said specific file is

<sup>&</sup>lt;sup>8</sup> Cianfrocca discloses, as cited above, workstations including programs, in the User Agent, to communicate with the messenger system server (equivalent to the clearinghouse server). In addition, Cianfrocca discloses that the communication between the User Agent and messenger system server will be across firewall (Col. 8, lines 7 – 9). This implies that the workstations are also behind the firewall.

<sup>9</sup> Cianfrocca further discloses that these components run in workstations with an interface (Col. 16, lines 27 – 28).

located on said second database (Col. 14, lines 2 – 4, Ananian<sup>10</sup>), and sends said request for said specific file to said second server (Col. 14, lines 35 – 38, Ananian); and said second server further receives said request for said specific file (Col. 7, lines 39 – 41, Ananian), locates said specific file in said second database (Col. 7, lines 65 – 67, Ananian), converts said specific file into a first transmittable format (Col. 8, lines 4 – 10, Ananian), and sends said specific file (Col. 20, lines 1 – 6, Ananian).

Regarding Claim 8, Cianfrocca/Ananian discloses a system, wherein said first database contains files in a first CAD format (Col. 2, lines 43 – 47, Ananian) and said second database contains files in a second CAD format (Col. 8, lines 54 – 57, Ananian<sup>11</sup>).

Regarding Claim 9, Cianfrocca/Ananian discloses system, wherein said clearinghouse server is further adapted to:

receive said specific file (Col. 5, lines 52 - 57, Ananian);

convert said specific file from said first transmittable format to said second CAD format (Col. 6, lines 4 - 6, Ananian<sup>12</sup>);

translate said specific file from said second CAD format to said first CAD format (Col. 6, lines 10 – 13, Ananian);

<sup>&</sup>lt;sup>10</sup> Ananian further discloses entering zip code or country (location) and other topics of the specific item requested. This implies locating the requested item in a specific database (see Col. 14, Table 1, lines 23 and 27).

<sup>&</sup>lt;sup>11</sup> The CAD files that are manipulated by the system were sent to and obtained from the builders' database.

<sup>&</sup>lt;sup>12</sup> Standarized data set (protocol) is a CAD format file (Col. 7, line 12, Ananian).

convert said specific file from said first CAD format into a second transmittable format (Col. 6, lines 25 – 28, Ananian); and

transmit said specific file to said workstation (Col. 13, lines 34 – 36, Ananian).

Regarding Claim 10, Cianfrocca/Ananian discloses a system, wherein said clearinghouse interface program comprises a plug-in application (Col. 16, lines 26 – 29, Ananian).

Regarding Claim 11, Cianfrocca/Ananian discloses a system, wherein said first transmittable format comprises XML (Col. 7, lines 20 – 26, Ananian).

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### Prior Art made of Record

1. Ananian et al. (US Patent No. 6,922,701 B1) discloses generating CAD independent interactive physical description remodeling, building construction plan database profile.

2. Cianfrocca. Et al. (US Patent No. 6, 088,796) discloses a secure middleware and server control system for querying through a network firewall.

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**Points of Contact** 

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Giovanna Colan whose telephone number is (571) 272-

2752. The examiner can normally be reached on 7:30 am - 4:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, John Breene can be reached on (571) 272-4107. The fax phone number for

the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

Giovanna Colan

Examiner

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January 6, 2006

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